

The following scenarios have been created for the purpose of evaluating the usability of the proposed solution's functionality; evaluating aspects of the design and user interface of both the station and mobile applications; comprehending the process required of law enforcement members to document, investigate, audit, and generate reports from the records management system. Each scenario represents common incidents which law enforcement officers are required to document and should provide context regarding many of the functional requirements located in Attachment 1.1 of this Request for Proposal. Scenarios may be completed in multiple ways, however, due to time constraints; the vendor is encouraged to demonstrate the solution that provides the greatest clarity for the scorers. Time permitting, the vendor may, at their discretion, demonstrate alternate solutions to the respective scenarios. However, it is up to each vendor to ensure their demos do not exceed the total allotted time frame. The vendor should be aware; demonstrations can only be scored on elements pertaining to the documented scenarios.

These scenarios may encompass both COTS and custom solutions. Any mockups utilized throughout the demonstrations shall be clearly labeled as such and accompanied by an explanation for their use. Demonstrators are encouraged to identify interface elements and system functionality which is configurable based on agency and/or user specifications.

Table #1 contains data that should be pre-populated prior to demonstration. If required for demonstration purposes, the vendor may pre-populate the records management system with additional data. All data to be used in below scenarios can be pre-loaded except for Scenario D1.0, D2.0, a small portion of D2.1, and D3.0. These will require that information be entered during the demonstration.

Table #2 contains examples of commonly used forms already completed for the respective scenarios and can be used as a guide to the vendor to demonstrate the auto-population of data. Copies of blank forms and a list of reports are provided in Appendix G and J.

All property associated within the demonstrations are expected to have a bar coded property tag in order to demonstrate the movement of the property within the records management system. Each tag can be pre-produced prior to demonstration except where instructed to complete as part of the demonstration.

The Vendor will be required to bring the following peripherals to the demonstrations to complete the various scenarios:

- 2-D bar code reader
- Driver's license reader
- Property label printer

Table #1: Preloaded Data

<u>Division Members:</u>	
User / Shield:	Trooper Milton Adams / 774 (Scenario #1.0, #2.2)
Station / TZS:	SP Binghamton / C211
User / Shield:	Trooper Nathan Drake / 2312 (Scenario #2.0, #7.0)
Station / TZS:	SP Binghamton / C211
EOD / EE ID:	10/01/95 / #100195008
User / Shield:	Trooper Christopher E. Williams / 335 (Scenario #3.0, #7.0)
Station / TZS:	SP Binghamton / C211
Date of Entry:	04/24/98
User / Shield:	Trooper John Sierra / Shield 117 (Scenario #4.0, #4.1)
Station / TZS:	SP Binghamton / C211
User / Shield:	Trooper Anthony Smith / 4756 (Scenario #6.0, #9.0)
Station / TZS:	SP Binghamton / C211
User / EE ID:	Sergeant Nathan Hale / 1755 (#1.0, #2.0, #2.1, #9.0, #10.0)
Station / TZS:	SP Binghamton / C211
Employee ID:	#121590094
User / EE ID:	Sergeant Michael O'Keefe / Shield 1759 (Scenario #3.0)
Station / TZS:	SP Endwell / C221
User / EE ID:	Lieutenant John Frink / 514 (Scenario #2.1, #2.2)
Station / TZS:	SP Binghamton / C211 (Ability to see all confidential cases)
Employee ID:	#121088005
User / Shield:	Major Phil Farnsworth / 1906 (Scenario #2.1)
Station / TZS:	SP Binghamton / C211 (Ability to see all confidential cases)
User / Shield:	Inspector Julius Hibbert / 2020 (Scenario #2.1)
Station / TZS:	SP Albany / H121 (Ability to see all confidential cases)
User / Shield:	Investigator Ralph Anderson / 313 (Scenario #4.0, #5.0, #7.0)
Station / TZS:	SP Binghamton / C211
User / Shield:	Senior Investigator Scott Baker / 4244 (Scenario #4.0)
Station / TZS:	SP Binghamton / C211
User / Shield:	Investigator Rachael Garman / 2460 (Scenario #8.0)
Station / TZS:	NYSIC / H111 (Ability to see all confidential cases)

Table #1: Continued

Division Members: Continued

User / Shield: Investigator Marty Schultz / 235 (Scenario #8.0)

Station / TZS: CNET Western / H223 (Can't see confidential cases)

User / Shield: Investigator Timothy White / 1651 (Scenario #9.0, #9.1)

Station / TZS: Evidence Custodian / H123

User / Shield: Captain Ronald Jones / 714 (Scenario #9.1)

Station / TZS: Internal Affairs SP Albany / H121

Ulster County Sheriff's Office:

Police Officer Matthew Heneka / Shield 12 (Scenario #2.2, #7.0, #8.0)

Court Information:

Court: Maine Town Court (Scenario #2.0, #3.0)
12 Lewis Street, PO Box 141
Maine, NY 13802
CTV: C0459
County: Owego

Court: Vestal Town Court (Scenario #4.0, #4.1, #5.0)
605 Vestal Parkway West
Vestal, NY 13850
CTV: C0464
County: Broome

Court: Tioga Town Court (Scenario #5.0)
2354 NY State Route 434
Appalachian, NY 13802
CTV: C5455
County: Tioga

Table #1: Continued

Penal Law Information:

Title / Section: Unlawful Possession of Marijuana / Penal Law § 221.05 (Scenario #2.0. #3.0)

Definition: A person is guilty of unlawful possession of marihuana when he knowingly and unlawfully possesses marihuana.

Crime: Violation

Title / Section: Criminal Possession of a Controlled Substance in the Seventh Degree / Penal Law § 220.03 (Scenario #3.0)

Definition: A person is guilty of criminal possession of a controlled substance in the seventh degree when he knowingly and unlawfully possesses a controlled substance:

Crime: Class A misdemeanor.

Title / Section: Resisting Arrest / Penal Law § 205.30 (Scenario #3.0)

Definition: A person is guilty of resisting arrest when he intentionally prevents or attempts to prevent a police officer or peace officer from affecting an authorized arrest of himself or another person:

Crime: Class A misdemeanor.

Title / Section: Petit Larceny / Penal Law § 155.25 (Scenario #4.0)

Definition: A person is guilty of petit larceny when he steals property.

Crime: Class A misdemeanor.

Title / Section: Escape 3rd / Penal Law § 205.05 (Attempted) (Scenario #4.0)

Definition: A person is guilty of escape in the 3rd when he escapes from custody.

Crime: Class A misdemeanor.

Title / Section: Criminal Sexual Act in the 3rd Degree / Penal Law § 130.40 (2) (Scenario #5.0)

Definition: A person is guilty of a criminal sexual act in the third degree when being twenty-one years old or more, he or she engages in oral sexual conduct or anal sexual conduct with a person less than seventeen years old;

Crime: Class E Felony.

Organization Records:

Kingsmen Motorcycle Club (Associated with Hells Angels) (Scenario #8.0)

Table #1: Continued**Person Records:**

Carlo DeBlasio (dob: 05-09-68) (Scenario #2.0, #2.1, #6.0, #7.0, #8.0)

859 Allen Street

Buffalo, NY 12588

Previous Addresses: 1818 Thompson Street, Utica, NY 12110

Ph: 607-548-9696

Alias: DeBlo

Sex: Male; Race: White

Affiliated with the Hells Angels

William David Tyson (dob: 03-17-70) (Scenario #4.0)

1020 Shadetree Lane

Maine, NY 13802

Ph: 607-555-1211

Sex: Male; Race: Black

Robert Nigel Ford (dob: 07-30-87) (Scenario #4.0, #4.1, #6.0, #8.0)

77 Nanticole Road

Vestal, NY 13850

Ph: 351-689-5458

Sex: Male; Race White; SSN: 123-45-4584; Hair: Brown; Eyes: Green; Height: 5' 10";

Height: 220 lbs; Education: High School; Last Grade Completed: 12; Tattoo: Tribal

Design Upper Left Arm; Employment: Part-Time; Employer: Target

Jane W Person (dob: 02-28-01) (Scenario #5.0)

377 Sugar Rush Place

Vestal, NY 13801

Ph: 212-548-6235

Andrew W Person (dob: 07-17-92) (Scenario #5.0)

1212 Swamp hollow Road

Tioga, NY 13827

Ph: 607-555-3131

Sex: Male; Race: White; SSN: 123-45-6780; Hair: Brown; Eyes: Brown; Height: 5' 08";

Weight: 175 lbs; Education: High School; Last Grade Completed: 12

Sean Conway (dob: 12-02-96) (Scenario #6.0)

84 Rossway Road

Vestal, NY 13850

Ph: 351-689-7814

Sex: Male; Race: White; SSN: 543-78-3378; Hair: Blonde; Eyes: Blue; Height: 5' 8";

Weight: 180 lbs; Education: High School; Last Grade Completed: 11; Tattoo: None;

Employment: Student; Employer: Vestal High School

Table #1: Continued**Person Records: Continued**

Adam Young (dob: 10-31-98) (Scenario #6.0)

863 Duell Road

Vestal, NY 13850

Ph: 351-689-1159

Sex: Male; Race: White; SSN: 543-54-2245; Hair: Brown; Eyes: Brown; Height: 5' 7";

Weight: 150 lbs; Education: High School; Last Grade Completed: 9; Tattoo: None;

Employment: Student; Employer: Vestal High School

Sara Michaels (dob: 09-22-73) (Scenario #7.0)

4312 Main Street

Great Barrington, MA

Ph: 413-555-1245

Jessica Ferguson (dob: 02-01-40) (Scenario #7.0, #8.0)

123 Spooner Street

Maine, NY 12544

Ph: 845-298-4467

Sex: Female; Race: Asian

Sally Songbird (dob: 04-20-73) (Scenario #6.0, #7.0, #8.0)

1818 Thompson Street

Utica, NY 12588

Ph: 845-612-5766

Association: Ex-Girlfriend of Carlo DeBlasio

Johnny DeBlasio (dob: 10-22-69) (Scenario #8.0)

1818 Thompson Street

Utica, NY 12110

Ph: 845-612-5766

Alicia DeBlasio (dob: 03-21-63) (Scenario #8.0)

6789 Trainor Ave

Buffalo, NY 12110

Ph: 718-965-0098

Association: Mother of Carlo DeBlasio

John Q. Public (dob: 03-17-90) (Scenario #2.0, #2.1, #8.0)

34 Jones Road

New Hartford, NY 13413

Ph: 917-765-4321

Association: Associate of Carlo DeBlasio

Table #1: Continued**Person Records: Continued**

Agnes T. Green (dob: 04-03-27) (Scenario #9.0)
 22 Jefferson Street
 Endwell, NY 12205
 Ph: 518-888-4545

Steven F. Green (dob: 09-24-21) (Scenario #9.0)
 22 Jefferson Street
 Endwell, NY 12205
 Ph: 518-888-4545

Steven F. Green Jr. (dob: 02-18-51) (Scenario #9.0)
 15586 Palm Court
 Tampa, FL 04589
 Ph: 463-891-4200

Vehicle Records:

Make / Model: Chevy Tahoe (Scenario #6.0)
Year: 2012
VIN: M7689008723CST15
Color: White
Registration: NY / MINE
Owner: Johnny DeBlasio (dob: 10/22/69)
 1818 Thompson Street
 Utica, NY 12110

Make / Model: Harley Davidson / Soft tail (Scenario #8.0)
Year: 2013
VIN: 1ZL7654H983404
Color: Black / Silver
Registration: NY / ETR4579
Owner: Carlo DeBlasio (dob: 05/09/68)

Make / Model: Lexus (Scenario #8.0)
Year: 2010
VIN: N3271007823ABC16
Color: Blue
Registration: NY / MJL5421
Owner: Carlo DeBlasio (dob: 05/09/68)

Table #1: Continued

Property Records:

Property:	<p>Blu-Ray: Gravity: value \$24.99 (Scenario #4.0) (SP Binghamton BCI Evidence Locker)</p> <p>Blu-Ray: The Walking Dead: Season 1: value \$24.99 (SP Binghamton BCI Evidence Locker)</p> <p>DVD: Surveillance Video: \$0.00 (SP Binghamton BCI Evidence Locker)</p>
Property:	<p>\$100 cash – unknown denomination or serial numbers (Scenario #6.0) (SP Binghamton BCI Evidence Locker)</p> <p>SONY Laptop Computer – serial# TRXCZ900KL67 – value \$700 (SP Binghamton BCI Evidence Locker)</p> <p>Assorted copper pipes – value \$900 (SP Binghamton BCI Evidence Locker)</p>
Property:	<p>Remington 870 shotgun serial #AB123456 – value \$300 (Scenario #7.0) (SP Endwell BCI Evidence Locker)</p> <p>Bloody kitchen knife – value \$0.00 (SP Endwell BCI Evidence Locker)</p>
Property:	<p>12” Bowie knife – value \$50 (Scenario #9.0) (SP Binghamton UF Evidence Locker)</p> <p>8” Kabar knife – value \$50 (SP Binghamton UF Evidence Locker)</p> <p>Switchblade knife – value \$20 (SP Binghamton UF Evidence Locker)</p> <p>.20 gauge Mossberg pump action shotgun, serial #M90001 – value \$300 (SP Binghamton UF Evidence Locker)</p> <p>.12 gauge Ithaca pump-action shotgun, no serial number, owner applied number SFG12 – value \$250 (SP Binghamton UF Evidence Locker)</p>

Evidence Locations:

- SP Binghamton Uniform locker (Scenario #2.0, #3.0, #9.0)
- Drug locker (Scenario #2.0, #3.0)
 - Gun Locker (Scenario #9.0)
 - Shelf 12 (Scenario #9.0)
- SP Binghamton BCI locker (Scenario #4.0, #6.0)
- SP Endwell BCI locker (Scenario #7.0)
- Troop H Evidence Vault (Scenario #9.1)
- Narcotics locker
 - SP Binghamton
 - Shelf #2
 - Gun locker
 - Awaiting Transfer to Lab

Table #1: Continued**Location Records**

Target (Scenario #4.0)
3112 Vestal Parkway East
Vestal, NY 13850
Township: Vestal
County: Broome
Ph: 607-729-6611

Big Daddy's Bar (Scenario #6.0)
1567 Jones Ave
Buffalo, NY 12110
City: Buffalo
County: Erie
Ph: 718-876-5643
Owner: Carlo DeBlasio

Hollis Check Cashing (Scenario #8.0)
195 Morris Ave
Buffalo, NY 13413
City: Buffalo
County: Erie
Ph: 718-954-9999
Owner: John Q. Public

Preloaded Cases:

Scenario 2.2 - Preloaded Case (Ulster County Sheriff):

Create a complete Burglary investigation dated 11/15/13 as Deputy Sheriff Matt Heneka for the Ulster County Sheriff's Office where Mr. Nelson is a victim of a burglary. Include a master index of at least 3 other names. One of the 3 names should have at least multiple cautionary alerts attached. In addition, arrest Carlo DeBlasio (dob: 05-09-68) for the burglary. Add at least 2 property records and 1 vehicle record. Include a short 1 paragraph narrative of the case.

Scenario 2.2 - Preloaded Case (Ulster County Sheriff):

Create a complete Burglary investigation dated 06/01/13 as Deputy Sheriff Matt Heneka for the Ulster County Sheriff's Office where Mr. Nelson is a victim of a burglary. Include a master index of at least 3 other names. One of the 3 names should have a cautionary alert. This case needs to be marked as confidential and not viewed outside the Ulster County Sheriff's Office.

Table #1: Continued

Preloaded Cases: Continued

Scenario #7.0 – Preloaded Case (NYSP):

Create 50 assignments within scenario #7.0 instructing 10 additional Troopers to complete regarding the homicide. Of the 50 assignments that are generated, demonstrate that 30 assignments have been completed or closed and the remaining 20 assignments are still pending or open.

Scenario #8.0 - Preloaded Case (Ulster County Sheriff):

Create a case as the Ulster County Sheriff's Office where Carlo DeBlasio (dob: 05-09-68) was stopped on March 24, 2014 in a 2010 Lexus color Blue bearing NY Registration MJL5421 and issued a ticket for speeding on Spooner St. in the Town of Maine.

Scenario #8.0 - Preloaded Case (Ulster County Sheriff):

Create another case by the Ulster County Sheriff's Office where Carlo DeBlasio was stopped on April 1, 2014 driving a 2013 Harley Davidson Soft Tail bearing NY Registration ETR4579 where he was identified as a member of the Kingsmen Motorcycle Club (sect of the Hells Angels) and issued a ticket for speeding on Maple Street in the Town of Maine.

Scenario #8.0 - Preloaded Case (NYSP):

Create 2 separate NYSP cases of any type within the records management system utilizing different variations of Carlo DeBlasio's name or date of birth. The purpose is to observe multiple records when a search is conducted on Carlo DeBlasio and the ability to distinguish the correct record sought.

Scenario #8.0 - Preloaded Case (NYSP):

Create 2 separate NYSP cases involving Carlo DeBlasio where he was arrested for drug possession or selling narcotics. The cases should include property records, vehicle records, telephone records, and 3 other associated names. The cases should provide different addresses, telephone numbers, and a separate mugshot picture for each arrest.

Scenario #8.0 - Preloaded Case (NYSP):

Create a case involving Johnny DeBlasio, Alicia DeBlasio, and Carlo DeBlasio (see Preloaded data) where a verbal argument occurs at Hollis Check Cashing. No arrest was made and a simple entry was made closing the case.

Scenario #10.0 - Preloaded Data (NYSP):

The vendor has the option of providing additional background cases involving additional Troopers assigned to SP Binghamton as well as additional warrants, and additional cases that are closed but still have evidence pending to aid in the demonstration of this scenario.

DEMONSTRATION SCENARIOS (1-10):

D1.0: Non-Criminal Call for Service

It's 7:00 am on March 16th, 2014 and Trooper Milton Adams of SP Binghamton is signing onto the in car computer located in division vehicle 2C12. Tpr. Adams successfully logs-in to the records management system and is presented with his user dashboard. Tpr. Adams requires corrective lenses and prefers a larger screen to view the content. Tpr. Adams couldn't recall how to view his assignments on his dashboard and reviews the Help documentation within the records management system to direct him to the right location.

At 7:05 am, Tpr. Adams is dispatched to a tree limb in the east bound lane of Interstate 88 in the vicinity of exit 9. Tpr. Adams responds and meets with Mr. Sample L. Document, a DOT employee who responds and assists Tpr. Adams remove the tree limb.

Tpr. Adams documents his actions within the records management system with only minor spelling errors which are caught by the system's spell check functionality. Utilizing Mr. Document's NYS Driver's License and an in-car 2D barcode scanner connected to the in car computer, Mr. Document's name, address, date of birth, and driver's license number are entered into a person record associated with the case. Upon attempting to close the incident, Tpr. Adams is presented with an alert advising him changes to the report have not been saved. The report is subsequently saved and submitted to Sergeant Nathan Hale for review. While being logged into the record management system, Tpr. Adams observes the incident he just documented is awaiting review by Sgt. Hale.

Sgt. Hale logs-in to the records management system from a station computer and is presented with his own user dashboard. Sgt. Hale observes a case from Tpr. Adams is awaiting his review and approval. Sgt. Hale accesses the case from the dashboard before reviewing and returning Tpr. Adams incident report for improper spelling of Mr. Document's name in the incident narrative which Tpr. Adams entered as Documint.

Tpr. Adams, still logged-in to the records management system from his in car computer, observes his report has been returned by Sgt. Hale along with the reason for the return. Tpr. Adams accesses the report, corrects the spelling for Document from "Documint", and resubmits to Sgt. Hale.

Sgt. Hale, still logged into the records management system, observes Tpr. Adams report has been re-submitted. Sgt. Hale reviews and accepts the report upon confirming Tpr. Adams' corrections.

This scenario shall demonstrate the following processes:

- Logging-In Process
- User Dashboard functionality
- User preferences and configurations
- Help functionality

- Scanning of drivers license to populate person information into the records management system
- Spell-check functionality
- Notification when attempting to close a document without saving
- Completion of a basic incident report within the mobile application
- Case management and workflow

Vendor must demonstrate the entry of the following data utilizing the mobile version of the records management system during presentation to complete the aforementioned scenario:

Times: Incident: March 16th, 2014: 7:00 am
Time of Call: 7:00 am
Dispatch Time: 7:05 am
Arrival Time: 7:15 am
Clear Time: 7:25 am

Location: Interstate 88, Exit 9
Binghamton, NY 13838

Complainant: Sample L. Document (dob: 06-09-85) (Drivers license for scanning will be supplied by New York State)
12D1E-3001 Anyplace
Anytown, NY 12345
Ph: 607-555-3131
Sex: male: Race: white

Narrative: Patrol responded to I-88 East Bound in the Town of Binghamton for a tree limb in the roadway. Patrol locates and removes same with the assistance of Sample L. Document (dob: 06-09-1985), an employee of the NYS DOT. Closed.

D2.0: Unlawful Possession of Marijuana (Off-line)

It's 10:00 pm on March 18th, 2014 and Trooper Nathan Drake has initiated a traffic stop of a gray, 1988 Chrysler Sebring bearing New York Registration XYZ0987, for speeding on Rabbit Path Rd in the Town of Maine. While interviewing the operator, Woodrow T. Nelson, Tpr. Drake detects the odor of marijuana. Tpr. Drake subsequently conducts a search of Mr. Nelson's vehicle and secures approximately 10 grams of marijuana found in a plastic bag under the front driver's seat. At 10:20 pm, Tpr. Drake arrests Mr. Nelson for Unlawful Possession of Marijuana.

Tpr. Drake is in a remote location and does not have cellular or data connectivity from his patrol car, division vehicle 2C29. Utilizing his computer in the vehicle, Tpr. Drake issues an appearance ticket for the violation of section 221.05 of the New York State Penal Law, Unlawful Possession of Marijuana (UPM) returnable to the Town of Maine Court on Tuesday March 25th, 2014 at 10:30 am. In addition, an incident report, an arrest report, a vehicle search report, and an accusatory instrument are completed utilizing the records management system from the in car computer.

Upon re-obtaining network connectivity, all information is uploaded to the live records management system database. Tpr. Drake searches for Carlo DeBlasio, Tiffany Morrison, and John Q. Public in the records management system to add them as passengers in the vehicle. Tpr. Drake locates 2 of the names, but is required to enter Ms, Morrison. At SP Binghamton, Tpr. Drake accesses the records management system from a desktop computer, updates the Vehicle Search Report with the associated case number, and enters the marijuana into the system as property, including the weight and time it was obtained. Tpr. Drake then prints a property evidence tag.

After saving the incident, Tpr. Drake observes he inadvertently entered a weight of 19 grams instead of the actual 10 grams. Upon updating the weight field with the correct value of 10 grams, Tpr. Drake is required to provide a reason for the value change before the document can be saved. Tpr. Drake re-prints a property label and attaches it to the packaging containing the marijuana, then records the date and time of his transfer of the property from his custody to the drug locker located in the SP Binghamton Uniform Property Locker.

Upon receiving notification of a change to a saved property record, Sergeant Nathan Hale reviews the property record from Tpr. Drake's case, then verifies and approves the change.

This scenario shall demonstrate the following processes:

- Documentation of the arrest of Mr. Nelson to include an incident report, arrest report, accusatory instrument and associated forms while off-line in the mobile application
- Auto-population and printing (printer or screen) of an Appearance Ticket and Accusatory Instrument with Electronic Signature while off-line in the mobile application

- Method(s) for synchronizing records within the mobile application with the production database
- Updating records initiated in the mobile application from a station computer
- Auto-population between all forms associated with a case
- Validation and tracking of property within the records management system to include printing a property evidence tag

Vendor must demonstrate the entry of all data (ie: dates, times, names, locations, vehicles, narratives, etc) required to complete the aforementioned scenario utilizing the mobile version and where applicable the station version of the records management system.

The below data is expected to be utilized during the demonstration, however it is not all inclusive. Additional information required to complete the scenario can be located in either Table #1 or Table #2.

Suspect: Woodrow Thomas Nelson (dob: 07-23-71)
 2001 Maple Road
 Binghamton, NY 13905
 Ph: 607-473-5489
 Sex: Male; Race: White; SSN: 987-65-4321; Hair: Bald; Eyes: Blue;
 Height: 6' 01"; Weight: 190 lbs; Education: College; Last Grade
 Completed: 13
 Driver's License ID #505847632
 Class D
 Expiration 7/23/19

Passengers: Tiffany Morrison (dob: 12-16-70)
 178 Spruce Street, Buffalo, NY 12588
 Ph: 718-965-1599

Carlo DeBlasio (dob: 05-09-68) (Pre-loaded)
 859 Allen Street, Buffalo, NY 12588
 Ph: 607-548-9696

John Q. Public (dob: 03-17-90) (Pre-loaded)
 34 Jones Road, New Hartford, NY 13413
 Ph: 917-765-4321

Vehicle: Make / Model: Chrysler / Sebring
 Year: 1988
 VIN: N6412007924PSX13
 Color: Gray
 Registration: NY / XYZ0987
 Owner: Woodrow Nelson

D2.1: Personnel Complaint / Confidential Investigation

Building upon the previous scenario, D2.0: Unlawful Possession of Marijuana, Sgt. Nathan Hale is contacted by Woodrow T. Nelson at 3:00 pm the following day, March 19th, 2014. Mr. Nelson files a personnel complaint against Tpr. Drake who Mr. Nelson claims referred to him as a “stoner.”

Sgt. Hale accesses the records management system and locates Tpr. Drake’s report from scenario D2.0: Unlawful Possession of Marijuana. Upon reviewing Tpr. Drake’s report, Sgt. Hale realizes that Mr. Nelson’s old address was utilized initially and updated it to the new address of 1995 New Town Road. Sgt. Hale verifies that a history of the addresses was maintained in the system.

Sgt. Hale conducts a confidential administrative investigation that is to be documented in the records management system. As part of this investigation, Sgt. Hale obtains a supporting deposition from Mr. Nelson. The supporting deposition is completed in the records management system and electronically signed by Sgt. Hale and Mr. Nelson. Tpr. Drake is also required to complete a memo responding to Mr. Nelson’s accusations. Upon receipt, Sgt. Hale attaches a copy of Tpr. Drake’s memo to the administrative report.

The records management system should denote the relationship between Tpr. Drake’s initial entry, D2.0: Unlawful Possession of Marijuana, Mr. Nelson’s supporting deposition, and the attached memo within Sgt. Hale’s administrative report.

In this scenario, the administrative report, Mr. Nelson’s supporting deposition, and attached copy of Tpr. Drake’s memo shall only be visible and accessible to Sgt. Hale and his supervisory chain which consists of three additional members. Existence of the administrative report, Mr. Nelson’s related deposition, and attached memo should not be available or disclosed from within Tpr. Drake’s initial report despite the relationship between the reports.

Sgt. Hale completes his report and submits it to his supervisor, Lieutenant John Frink for review. Lt. Frink approves the report and forwards it to Major Phil Farnsworth. Major Farnsworth approves the report and submits the report to Internal Affairs Inspector Julius Hibbert who approves and closes the report.

This scenario shall demonstrate the following processes:

- Searching, locating, updating, and auto populating records
- Confidentiality of records throughout the system
- Capture of electronic signatures
- Attachment or linkages between forms and cases
- Workflow of a record through multiple levels
- Document a confidential administrative investigation
- **Updating of Mr. Nelson’s address during the presentation**

D2.2: Multi-Tenant Functionality

Vendor is required to demonstrate access control from two different tenants and therefore is required to set up an Ulster County Sheriff's Office tenant to complete this scenario.

Utilizing the previous scenario in 2.0 and 2.1:

1. Access the records management system as Trooper Milton Adams from the New York State Police and conduct a search for Mr. Nelson.
 - Attempt to view the incident and arrest of Mr. Nelson that is associated with the New York State Police on 3/18/14. Then unsuccessfully attempts to access the Administrative Report dated 3/19/14, associated to that incident.
 - Attempt to view the incident of Mr. Nelson dated 11/15/13, that is created by the Ulster County Sheriff's Office.
 - Unsuccessfully attempts to view the incident of Mr. Nelson dated 06/01/14, that is created by the Ulster County Sheriff's Office involving a confidential informant.
2. Access the records management system as Lieutenant John Frink from the New York State Police and conduct a search for Mr. Nelson.
 - Attempt to view the incident and arrest of Mr. Nelson that is associated with the New York State Police on 3/18/14. Then successfully attempts to access the Administrative Report dated 3/19/14 associated to that incident.
3. Access the records management system as Deputy Sheriff Matt Heneka from the Ulster County Sheriff's Office and conduct a search for Mr. Nelson.
 - Attempt to view the incident and arrest of Mr. Nelson dated 3/18/14 by the New York State Police. Then unsuccessfully attempts to access the Administrative Report dated 3/19/14 associated to that incident.

This scenario shall demonstrate the following processes:

- Searching and locating records from multiple agencies
- Confidentiality of records from multiple agencies
- Access controls of records from multiple agencies

D3.0: Criminal Arrest – Pursuit

On April 2, 2014 at 11:15am, Trooper Christopher E. Williams operating division vehicle 2C14, observed a gray, 1988 Chrysler Sebring bearing New York Registration CYA4586, (VIN#N6412007924PSX13) at a high rate of speed on State Route 17 in the Town of Maine. Tpr. Williams attempted to stop the vehicle, but the operator, Mr. Joseph A. Ladd failed to comply. Sergeant Michael O’Keefe monitors the pursuit from SP Endwell. The operator of the vehicle voluntarily stops his vehicle and is taken into custody after a short physical altercation. A search of Mr. Ladd revealed one gram of heroin and a small plastic bag containing five grams of marijuana, both are secured as evidence in the SP Binghamton Drug locker. Tpr. Williams transports Mr. Ladd to SP Binghamton for processing with no documentation done in the mobile version of records management system.

Mr. Ladd is charged with Criminal Possession of Controlled Substance 7th, Unlawful Possession of Marijuana, and Resisting Arrest. At SP Binghamton, Tpr. Williams begins to complete an incident report. Upon entering the heroin in the records management system, the system assigns a property number, Tpr. Williams then adds a user assigned alphanumeric property number which he marks as item number 1. Tpr. Williams then enters the marijuana in the records management system and inadvertently marks it as user assigned alphanumeric property number 1. The records management system notifies Tpr. Williams that an item 1 was already used to identify property in this case. Tpr. Williams assigns number 2 to the marijuana and prints out a property evidence tag.

Tpr. Williams enters the vehicle information and the records management system indicates that the same vehicle was associated with Mr. Woodrow Nelson from scenario D2.0. Tpr. Williams realizes that Joseph Ladd and Woodrow Nelson is the same person and must link/combine the records for the two.

Tpr. Williams completes an incident report, arrest report, pursuit report and accusatory instruments for Criminal Possession of a Controlled Substance 7th, Resisting Arrest and Unlawful Possession of Marijuana. Upon completion of his reports Tpr. Williams submits his incident, arrest and pursuit report to Sgt. Nathan Hale and submits the pursuit report to Sgt. Michael O’Keefe.

Tpr. Williams completed the Accusatory Information for Criminal Possession of Controlled Substance 7th and Resisting Arrest within the records management system; Accusatory Information’s shall be pre-populated with previously entered data.

Upon request of the District Attorney’s office, Tpr. Williams generates and prints his case folder which includes case and arrest reports, accusatory instruments, all attachments, and a property log for all property associated with this case.

This scenario shall demonstrate the following processes:

- Documentation of the incident and arrest of Mr. Ladd to include an incident report, arrest report, and one accusatory instrument
- Workflow and non linear workflow of a record through multiple levels

- Documenting and verifying property.
- Searching, updating, and auto populating records
- Linkages between master indices.
- Printing of case report and property label from station computer

Vendor must demonstrate the entry of all data (ie: dates, times, names, locations, vehicles, narratives, etc) required to complete the aforementioned scenario utilizing the desktop version of the records management system.

The below data is expected to be utilized during the demonstration, however it is not all inclusive. Additional information required to complete the scenario can be located in either Table #1 or Table #2.

The following data will be required to complete the aforementioned scenario:

Suspect: Joseph A. Ladd (dob: 07-23-71)
1995 New Town Road
Binghamton, NY 13905
Ph: 607-473-5489
Sex: Male; Race: White; SSN: 987-65-4321; Hair: Bald; Eyes: Blue;
Height: 6' 01"; Weight: 190 lbs; Education: College; Last Grade
Completed: 13

Pursuit Report: See Table #2.

D4.0: Petit Larceny / Attempted Escape / BCI

It's 7:53 pm on March 20th, 2014 when Trooper John Sierra is dispatched to a Petit Larceny complaint at Target. Upon arrival Tpr. Sierra interviews the store manager, William D. Tyson, who has the suspect, Robert N. Ford in custody. Mr. Ford is accused of stealing two Blu-Ray discs, including the movie Gravity and the first season of The Walking Dead. Trooper Sierra secures Ford and takes possession of the Blu-Ray discs, as well as a DVD containing surveillance video of Mr. Ford stealing the Blu-Ray discs. Mr. Ford is transported to SP Binghamton.

During processing, Mr. Ford attempts to escape from Tpr. Sierra's custody, but is unsuccessful. Tpr. Sierra adds the additional charge of Attempted Escape 3rd to the original Petit Larceny and completes processing. Tpr. Sierra determined that Mr. Ford had a bench warrant out of the Johnson City Police department for failure to appear regarding Criminal Possession of a Controlled Substance in the 5th on December 20th, 2013. Mr. Ford is turned over to Officer Victor Sullivan of the Johnson City Police Department. Tpr. Sierra completes a narrative entry documenting the facts of the case and attaches the surveillance video to the case. The evidence is secured in the SP Binghamton Uniform Evidence Locker.

Based off of the charges, Tpr. Sierra then sends a notification to the on-call Bureau of Criminal Investigation (BCI) member, Investigator Ralph Anderson as well as to his Supervisor, Senior Investigator Scott Baker notifying them of the arrest of Mr. Ford for potential follow-up by the BCI. Inv. Anderson reviews the case, and assigns himself to the case. Inv. Anderson completes a separate narrative entry documenting his actions in the case. Inv. Anderson then physically transfers the items of evidence from the SP Binghamton Uniform Evidence Locker to the SP Binghamton BCI Evidence Locker and subsequently documents the transfer within the records management system. Inv. Anderson signs the report and submits it to S/Inv. Baker for review. The case is subsequently closed.

S/Inv. Baker reviews the chain of custody (see Appendix J for details on the chain of custody report) for all evidence and property associated with the case.

This scenario shall demonstrate the following processes:

- Documentation of an attempted charge and a charge for another agency
- Searching and adding persons, property, and organizations to a case
- Attachment of digital media
- Distinguishing between NYSP charges and other agency charges for UCR/IBR
- Notifying and reassigning an incident to other users
- Generation of multiple narratives by multiple users within a case
- Different methods for verifying and reviewing the chain of custody for one piece as well as multiple pieces of property in a case

D4.1: Bench Warrant:

Upon arriving at SP Binghamton on Wednesday April 2nd, 2014, Trooper John Sierra receives a bench warrant on the closed case for Robert N Ford who failed to appear before the Town of Vestal Court on Thursday March 25th, 2014 at 5:30 pm for Petit Larceny and Attempted Escape 3rd. Trooper Sierra attempts to contact Mr. Ford with negative results and begins to document the warrant in the records management system.

This scenario shall demonstrate the following processes:

- Documentation and tracking of a warrant investigation within the records management system.
- Associating a Warrant investigation with the originating case.
- Scanning and attaching the warrant to the Warrant investigation.

The following data will be required to complete the aforementioned scenario:

Times: Date Warrant Received: April 2nd, 2014: 7:00 pm

Attachments: Warrant

D5.0: Multi-Jurisdictional Investigation / Arrest

At 10:30 am on March 30th, 2014, Investigator Ralph Anderson of SP Binghamton receives a sex abuse complaint involving a 13 year-old female victim. Investigation reveals the abuse occurred over a six month period, beginning on approximately the last week of October 2013 and continuing through March 28th, 2014. The abuse occurred at both the suspect's residence of 1212 Swamphollow Road, Oswego, NY in the County of Tioga and the victim's residence of 377 Sugar Rush Place, Vestal, NY in the County of Broome. On June 2, 2014 at 11:00 am, Inv. Anderson subsequently arrests Andrew W. Person on two counts of Criminal Sexual Act in the 3rd Degree in the Town of Tioga Court in Tioga County and one count of Criminal Sexual Act in the 3rd Degree in the Town of Vestal Court in Broome County.

This scenario shall demonstrate the following processes:

- Processing of multiple arrests of one suspect involving multiple jurisdictions stemming from a single investigation.
- Documentation of a date range for incidents

D6.0: Burglary / Sealing / Juvenile / Youthful Offender

On January 27, 2014 at 8:00 am, Trooper Anthony Smith receives an assignment through the records management system from Sergeant Hale to conduct a property check at Big Daddy's Bar located at 1567 Jones Ave, Buffalo, NY 12110. Tpr. Smith conducts a perimeter check and determines that a burglary occurred. Tpr. Smith contacts the owner, Carlo DeBlasio who confirms that a Sony laptop computer, copper pipe, and \$100 in cash was taken sometime between January 24, 2014, at 11 pm to January 27, 2014, at 8 am. Tpr. Smith enters Sally Songbird as a person interviewed. Tpr. Smith completes the assignment and generates a burglary investigation. Tpr. Smith then contacts Investigator Ralph Anderson of the burglary.

On January 31, 2014 at 3:00 am, Tpr. Adams conducted a traffic stop of NY registration "MINE" driven by Mr. Ford and noticed copper wire in plain view. As a result of his investigation, Mr. Ford was also found to be in possession of the stolen Sony laptop and \$100 in cash.

On February 1, 2014, Inv. Anderson interviews Mr. Ford regarding his involvement in the burglary and it was learned that he was assisted by a 17 year old adult named Sean Conway and a 15 year old juvenile named Adam Young. Inv. Anderson subsequently arrests Mr. Ford, Mr. Conway, and Mr. Young for Burglary 3rd. Inv. Anderson enters all recovered property into the records management system and sets a notification to remind him to periodically check if the case has been adjudicated in the court.

On May 22, 2014, Inv. Anderson was advised by the court that Mr. Ford's criminal case was sealed and that Mr. Conway was adjudicated a youthful offender. Inv. Anderson then seals the arrest and all associated documentation of Mr. Ford.

On June 1, 2014, Trooper Adams attempts to view the burglary case in the records management system.

This scenario shall demonstrate the following processes:

- Creating an incident from an assignment
- Setting a notification with periodic reminders on property
- Distinguishing between an adult arrest and a juvenile arrest in the same case
- Documentation of juveniles
- Documentation of youthful offender status
- Restricting access to juveniles, youthful offenders, and sealed cases
- Sealing a record with multiple defendants

D7.0: Homicide – Major Crime Investigation

On April 9, 2014 at 8:00 am, Trooper Nathan Drake was contacted by Sara Michaels and advised that her mother, Jessica Ferguson of 123 Spooner St. Maine, NY 12544 had not been heard from in over 3 days and requested a welfare check be conducted.

Trooper Drake entered the residence and located what appeared to be a deceased female with multiple stab wounds to the chest. Tpr. Drake contacts Inv. Ralph Anderson.

Inv. Anderson opens the previously entered case and marks the case as confidential and grants access to Tpr. Drake, Tpr. Williams, and 10 other Troopers (see pre-loaded data). Assignments have been created for Tpr. Drake and Tpr. Williams; they access these assignments through their individual dashboards and document their assignments in the system. Inv. Anderson reviews all the assignments associated with this particular case to determine what assignments have been completed and which are still outstanding. Inv. Anderson then prints a list of all assignments associated with this case.

Inv Anderson collects a Remington 870 shotgun serial #AB123456 was secured for safekeeping and a bloody knife was secured as evidence. Each item of evidence and property was entered in the records management system. Both items were secured in the SP Endwell BCI evidence locker.

As the case progresses, information was developed from Sally Songbird that her ex-boyfriend Carlo DeBlasio was responsible for killing Ms. Ferguson. Ms. Songbird was already in the records management system as a person interviewed on an unrelated case, but now becomes a confidential informant for this case. Inv. Anderson marks Ms. Songbird as a confidential informant and creates a blind flag set to inform Inv. Anderson if any user searches for Ms. Songbird in the records management system.

Inv. Anderson then creates a flag within the records management system for Mr. DeBlasio so that any user throughout the state that searches or attempts to add Mr. DeBlasio would be directed to contact Inv. Anderson. Inv. Anderson also creates multiple alerts for Mr. DeBlasio. Inv. Anderson sends a notification to all members at SP Endwell informing them about the homicide.

This scenario shall demonstrate the following processes:

- Security and access control to a confidential incident
- Assigning numerous officers an assignment to complete and report back results
- Adding assisting officers while maintaining a history of officers
- Printing assignments within a case
- Documentation and transfer of property, evidence, and serialized evidence
- Adding and concealing confidential informants
- Creation of alerts
- Flagging / unflagging of a person in the system
- Notifications to individuals and units

D8.0: NYSIC - Criminal Analysis:

On April 15, 2014, Investigator Marty Schultz of the narcotics unit is conducting an investigation and received information that a subject by the name of “Carlo” or “DeBlo” is selling crack cocaine, heroin, ecstasy and molly on Allen Street, in the City of Buffalo, NY. Inv. Schultz conducts a search in the records management system for the name Carlo and DeBlo. Inv. Schultz was able to learn some information, but believed there might be other cases not available to him.

Inv. Schultz enters the above field contact information into the records management system. NYSIC Investigator Rachael Garman receives the field contact information and then conducts a search utilizing the field contact information. After searching within the records management system, Inv. Garman locates additional cases that were deemed confidential and a subject with the name of Carlo DeBlasio (05/09/68) of 859 Allen Street, Buffalo, NY was located. Mr. DeBlasio was linked to several drug arrests; drug complaints; a homicide; the Kingsmen Motorcycle Club (KMC), a sect of the Hells Angels, and has an alias of “DeBlo.”

A comprehensive report is completed with the search results conducted by Inv. Garman, and forwarded to Inv. Schultz. In addition, Inv. Garman produces a visual representation (e.g. graphs, charts, maps or timelines) of the all associated cases, identified associates, addresses, vehicles, businesses, and telephone numbers.

This scenario shall demonstrate the following processes:

- Searching and the production of search reports in the records management system based on security privileges
- Submission of the field contact information
- Viewing current as well as a history of addresses and telephone numbers
- Creation of a visual representation of linkages based on suspect, associates, identified associates, addresses, vehicles, businesses, and telephone numbers

Vendor is expected to utilize data already entered from scenario #2-7 and preloaded data in order to visually demonstrate the linkages associated with Mr. DeBlasio.

D9.0: Property / Evidence Management

On March 4, 2014 at 10:25 am, Trooper Anthony Smith returns to SP Binghamton and interviews Agnes T. Green regarding the surrendering of property. Ms. Green advised her husband, Steven F. Green, recently passed away and she located two firearms and three knives in their attic. Ms. Green requested the weapons be retained for safe keeping until her son, Steven F. Green Jr., has an opportunity to come from Florida to take possession of them.

Tpr. Smith initiates an entry in the records management system and begins documenting the weapons to be held. Within each property record Tpr. Smith denotes the item is to be held for safe keeping except for the switchblade which cannot be legally possessed. As a result, the switchblade is denoted for destruction as opposed to safe keeping. Tpr. Smith then places the weapons in the SP Binghamton Uniform Force Evidence Locker; each shotgun is secured within the gun locker while the knives are stored on shelf 12. After supervisory review by Sergeant Nathan Hale, the property in this case is subsequently transferred to the Troop H Evidence Vault by Evidence Custodian Timothy M. White.

This scenario shall demonstrate the following processes:

- Entry of property items into the system; including serial numbers and owner applied numbers.
- Use of property categories
- Use of property dispositions.
- Workflow of property entered into the system.
- Distribution and tracking items from one case throughout a secure multi-nested environment.

D9.1: Property / Evidence Management – Inventory

Evidence Custodian Inv Timothy White transfers the following property from their existing location to the Troop H Evidence Vault.

- D2.0: Unlawful Possession of Marijuana: Ten (10) grams of Marijuana transferred to shelf #2, which is within the narcotics locker, which is within the Troop H Evidence Vault.
- D3.0: Criminal Arrest - Pursuit: One (1) gram of Heroin transferred to shelf #2, which is within the narcotics locker, which is within the Troop H Evidence Vault.
- D7.0: Homicide – Major Crime Investigation: Remington 870 Shotgun Serial #AB123456 to the gun locker within the Troop H Evidence Vault.

As part of an annual administrative review of the Troop H Evidence Vault, Captain Ronald A. Jones, NYSP Internal Affairs Bureau, accesses the records management system and prints a listing of all property purportedly maintained within the Troop H Evidence Vault. (See Appendix J, Property Inventory Report) While completing the hands on inventory of the locker with the bar coded tags and scanners, it was discovered that two Blu-Ray discs, Gravity and The Walking Dead Season 1, are in the Troop H Evidence Vault, but not on the inventory report. The records management system shows

each item was last scanned into the SP Binghamton BCI Evidence Locker by Investigator Anderson. Captain Jones manually updates the date, time, and location of the two items.

In addition, Captain Jones generates an Inventory Record of all property received from SP Binghamton that is maintained in the vault. Captain Jones runs an audit log for the two items in the narcotics locker in the Troop H Vault.

This scenario shall demonstrate the following processes:

- Generate an Inventory Record of all the property within the evidence vault as well as all evidence within a specific location in the vault.
- Generate a report of all property transferred from one location to another.
- Generate an audit log
- Manual updating of the chain of custody with proper authority to reflect the proper location for each item

D9.2: Un-tagged Property

- Captain Jones discovers a Remington 870 shotgun serial #AB123456 located in the gun locker without a bar-coded evidence tag affixed. Captain Jones utilizes the records management system to search and locate which case the Remington 870 shotgun is associated, determine the status and reported location for this evidence and reprint a bar-coded property tag.

This scenario shall demonstrate the following processes:

- Search evidence\property to determine its associated case
- Determine the status and reported location of evidence
- Reprint a bar-coded property tag

D9.3: Batching Property

In this scenario, Investigator White, preparing to transport property to the laboratory, utilizes a bar code scanner and batches the marijuana and heroin from the Troop H Evidence Vault, Narcotics Locker. The batched property remains in the narcotics locker within the vault while awaiting transport to the laboratory. Upon transferring the property, the RMS updates the disposition of the multiple pieces of property at one time.

This scenario shall demonstrate the following processes:

- Transfer and documentation of pieces of property from different cases, located in a single location to another location at one time
- Updating the disposition of multiple pieces of property at one time

D10.0: Reporting

Sergeant Nathan Hale accesses the records management system to determine the activity for SP Binghamton Troopers during the months of March and April 2014.

Sgt. Hale evaluates data such as each individual Trooper's calls for service, cases, assignments, and arrests for the selected time period. Captain Jones evaluates data on a broader scale for all the Troopers assigned to Division.

In addition, Sgt. Hale produces a warrant report which provides a listing of all open warrants for SP Binghamton sorted by Trooper. Sgt. Hale also produces a pending property disposition (PPD) report detailing all cases assigned to Troopers at SP Binghamton that have cases that are closed but still have property awaiting disposition.

This scenario shall demonstrate the following processes:

- Generation of statistical analysis reports based upon variables such as a station, personnel, personnel type (i.e. uniform vs. BCI), case type, and time period.
- Generation of reports regarding warrants and pending property

STATE OF NEW YORK COUNTY OF BROOME
LOCAL CRIMINAL COURT TOWN OF MAINE

THE PEOPLE OF THE STATE OF NEW YORK

- vs -

WOODROW T NELSON
2001 MAPLE ROAD BINGHAMTON NY
Defendant(s)

[INFORMATION] [XXXXXXXXXX]

ACCUSATION

BE IT KNOWN THAT, by this [Information] [xxxxxxxx], TROOPER NATHAN DRAKE, as the Complainant herein, [Stationed] [xxxxxxxx] at SP BINGHAMTON, accuses WOODROW T. NELSON 2001 MAPLE ROAD BINGHAMTON, NY, the above mentioned Defendant(s), with having committed the [xxxxx xxxxxxx] [violation] [xxxxxxxxxxx] [xxxxx] of UNLAWFUL POSSESSION MARIJUANA, in violation of Section 221.05, Subdivision - of the PENAL Law of the State of New York.

FACTS

That on or about the 18TH day of March, 2014, at about 10:00 [PM] [XX] in the TOWN of MAINE, County of BROOME, the Defendant(s) did [intentionally,] [knowingly,] [xxxxxxxx] [xxxxxxxxxxxxxxxxxxxxxxxx] and unlawfully, commit the VIOLATION of UNLAWFUL POSSESSION OF MARIJUANA. A person is guilty of unlawful possession of marijuana when he knowingly and unlawful possesses marijuana.

TO WIT: on the aforementioned time, date and place said defendant did intentionally, knowingly, and unlawfully possess 10 grams of marijuana while driving a 1988 Chrysler K car bearing NY Reg XYZ0987 on Rabbit Path Road in the Town of Maine, County of Broome, State of New York. All contrary to the provisions of the statute in such case made and provided.

The above allegations of fact are made by the Complainant herein [on direct knowledge and/or upon information and belief,] with the source of the Complainant's information an grounds for belief being the facts contained in the attached SUPPORTING DEPOSITION(S) OF _____

- OR -

[WHEREAS, an Appearance Ticket was issued to the said Defendant(s), directing [him] to appear Before this court at 10:30 [AM] [XX], on the 25TH day of March, 2014.

NOTICE

In a written instrument, any person who knowingly makes a false statement, which such person does not believe to be true, has committed a crime under the laws of the State of New York punishable as a Class A Misdemeanor (PL § 210.45)

Affirmed under penalty of perjury
this 18TH day of March, 2014.

Trooper Nathan Drake
COMPLAINANT

Subscribed and sworn to before me
this _____ day of Month, 20 .

— STRIKE OUT ANY WORDS THAT DO NOT APPLY

APPEARANCE TICKET*
NEW YORK STATE POLICE

Page 1 of 1

Issued To: Woodrow T. Nelson 7/23/71
NAME OF DEFENDANT DATE OF BIRTH

2001 Maple Road Binghamton NY 13905
STREET AND NUMBER CITY OR TOWN STATE ZIP CODE

You are hereby directed to appear in the court described below on

March 25, 2014 at 10:30 AM in connection with your alleged
commission of the offense of Unlawful Possesion of Marijuana contrary to the
provisions of section 221.05 of the Penal law.

Name of court Town of Main Court

Location of court 12 Lewis Street Po Box 141 Maine, NY 13802

* NOTE - If you fail to appear on the date and at the time indicated, the court may issue a summons or warrant for your arrest (Criminal Procedure Law Section 150.60).

If you have posted bail, the bail will become forfeit upon your failure to comply with the directions of this ticket (Criminal Procedure Law Section 150.30).

Issued and subscribed by:

Tpr Nathan Drake 2312
SIGNATURE OF MEMBER SHIELD
C211

TZS / DIVISION/PRECINCT
3/18/14
DATE ISSUED

THE PEOPLE OF THE STATE OF NEW YORK
VS

ROBERT N FORD

DEFENDANT(S)

<u>LOCATION OF INCIDENT:</u>	
STATE OF NEW YORK,	<u>LOCAL CRIMINAL</u> COURT
COUNTY OF	<u>BROOME</u>
TOWN	OF <u>VESTAL</u>

<u>LOCATION OF DEPOSITION:</u>	
STATE OF NEW YORK	
COUNTY OF	<u>BROOME</u>
TOWN	OF <u>VESTAL</u>

On

DATE:	<u>3/20/14</u>
-------	----------------

 at

TIME STARTED:	<input checked="" type="checkbox"/> AM <input type="checkbox"/> PM
---------------	---

8:15 I,

FULL NAME:	<u>WILLIAM D TYSON</u>
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STATE THE FOLLOWING:

My name is William D Tyson and I am the store manager for the Target Supermarket located at 3112 Vestal Parkway East in the Town of Vestal. While walking around the store this morning, I noticed a white male wearing a blue shirt grab two Blue-Ray DVD's from the movie counter and place them under his shirt. I stopped the subject at the front door upon exiting the store. The male identified himself as Robert Ford and I was able to recover the two DVD's from under his shirt. The DVD's that Mr. Ford took was the movie "Gravity" and the other was the first season of "The Walking Dead". Both DVD's are valued at \$24.99 each. As a representative of Target, I have the ability to commence a criminal action on their behalf and wish to do so.

NOTICE

(Penal Law Sec. 210.45)

In a written instrument, any person who knowingly makes a false statement which such person does not believe to be true has committed a crime under the laws of the state of New York punishable as a Class A Misdemeanor.

Affirmed under penalty of perjury

this 20TH day of MARCH, 20 14

- or -

*Subscribed and Sworn to before me

this _____ day of _____, 20 _____

(SIGNATURE OF DEPONENT) – WILLIAM D TYSON

(WITNESS)	TIME ENDED	<input checked="" type="checkbox"/> AM
TROOPER JOHN SIERRA	<u>8:30</u>	<input type="checkbox"/> PM
(NAME OF PERSON TAKING DEPOSITION)		

* This form need be sworn to only when specifically required by the court.

Vehicle Search Report

SEARCH SUMMARY

Form Number DNA		Police Agency New York State Police					
Local Police Code/SJS# TBD by scenario RMS number					Photo Lic Show Yes		
Last Name (Driver) Nelson			First Name Woodrow		MI T	Suffix	
Number and Street 2001 Maple Road					Apt. No.		
City Binghamton			State NY	Zip Code 13905			
Client ID Number 505847632		Lic State NY	Lic. Class D	Date Expires 7/23/19	Sex M	Race W	
Date of Birth 7/23/71	Plate Number XYZ0987	Reg. State NY	Reg. Exp. (Optional)	Year 88	Make Chrysler		
Color Gray		Vel. Type 4 Door Sedan					
County Broome		C/T/N Name Town of Maine			Loc. Code C0459		
Street Name Rabbit Path Road			Reason For Stop Speeding				
V&T Offense Section / Subsection 1180.D		V & T Offense Title Speeding					
Vehicle Searched <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes	Basis For Search Odor of marijuana			Driver Searched Yes	# Pass. Searched 3		
UTT Issued Yes	Evidence Secured Yes	Date of Stop 3/18/14	Time of Stop 10:00PM	Arrest Made (Other than V&T) Yes			
Offense Section and Subsection 221.05		Offense Title Unlawful Possession of Marijuana					
Offense Description Defendant did possess marijuana							

Officer's Signature Tpr. Nathan Drake		DIV/Troop C	PCT/Zone 2
		Sector/Station 11	
		NCIC ORI 1030300	
Officer Last Name Drake	First Name Nathan	M.I. -	Badge/Shield 2312
Reviewing Officer Signature Sgt. Nathan Hale		Review Status Accepted	
		Date of Review 3/19/14	
		Time of Review 3:00 AM	
Reviewing Officer's Name Sgt. Nathan Hale			

Narrative Detected a strong odor of marijuana emanating from the vehicle. Operator of the vehicle voluntarily produced a bag of marijuana from under the front seat.

DIRECTIONS: **Member:** Complete and submit by end of shift to Supervisor who monitored the pursuit.
Supervisor: Review for completeness and sent through Channels to Zone Headquarters within 3 days of pursuit. Attach File 3, if applicable.

MEMBER INFORMATION

Member Involved - Name, Rank: Christopher E. Williams, Trooper	Shield #: 335	EOD: 4/24/98	T/Z/S: C211	Station/Detail: SP Binghamton	Vehicle RIN: 2C14
Other Division Members Involved (Include Passengers) - Name, Rank & Vehicle RIN: None					
Supervisor Notified of Pursuit - Name, Rank & Station: Michael O'Keefe, Sergeant, SP Endwell					
Other Agencies Involved in Pursuit: (Include Names/ Car Numbers If Available) None					

PURSUIT INFORMATION

Date: 04 / 02 / 14	Shift: 1	Time Started: 11:15 am	Time Ended: 11:17 am	Distance Traveled: 2 miles	Est. Speed of Pursued Vehicle: 84 mph
Reason for Initiating Pursuit: <input checked="" type="checkbox"/> VTL <input type="checkbox"/> Penal Law <input type="checkbox"/> Other Specify ___Speeding_____	Weather Conditions: <input checked="" type="checkbox"/> clear <input type="checkbox"/> raining <input type="checkbox"/> snow <input type="checkbox"/> fog/mist <input type="checkbox"/> other _____		Light Condition: <input checked="" type="checkbox"/> daylight <input type="checkbox"/> dawn <input type="checkbox"/> dusk <input type="checkbox"/> darkness	Road Condition: <input checked="" type="checkbox"/> dry <input type="checkbox"/> wet <input type="checkbox"/> snow/ice <input type="checkbox"/> other _____	
Type of Area: (Choose one or more) <input type="checkbox"/> Residential <input type="checkbox"/> Commercial <input type="checkbox"/> Industrial <input type="checkbox"/> Urban <input checked="" type="checkbox"/> Rural <input type="checkbox"/> Other (Specify) _____					
Location Pursuit Commenced: State Route 17 mile marker 203				County: Broome	
Location Pursuit Ended: State Route 17 mile marker 205				County: Broome	
Emergency Lights Used: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	Emergency Siren Used: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A		Spike Belt Tire Deflator Used: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Specify Type _____		
Was Pursuit Vehicle Video Equipped? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Was Pursuit Videotaped? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				

OPERATOR INFORMATION

Vehicle Plate #: CYA4586	License State: NY	Vehicle Year: 1988	Vehicle Make/Model: Chrysler K car	# Of Occupants: 1
Driver Age: (Estimate if not Identified) <input type="checkbox"/> <16 <input type="checkbox"/> 16-17 <input type="checkbox"/> 18-25 <input type="checkbox"/> 26-35 <input checked="" type="checkbox"/> 36-45 <input type="checkbox"/> 46-55 <input type="checkbox"/> > 55			Impairment: <input type="checkbox"/> Alcohol <input type="checkbox"/> Drugs <input checked="" type="checkbox"/> Not impaired	Sex: <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female
Driver's Name, If Known: (Last, First, MI) Ladd, Joseph				Date of Birth: 7/23/71
Reason Given By Driver For Failing To Stop: Didn't want to be caught with heroin				

End of Pursuit: Legal Intervention Vehicle Stopped Vehicle Lost Vehicle Crashed
 Member Terminated Pursuit Supervisor Ended Pursuit Division Vehicle Crashed

Complete these boxes if pursuit resulted in Death, Damage or Injury.		Number Killed	Number Injured	Estimated Value of Property Damage
	Division Vehicle	0	0	DNA
	Pursued Vehicle	0	0	DNA
	Third Party	0	0	DNA

Description of Charges Filed:
 Criminal Possession of Controlled Substance 7th, Resisting Arrest

Synopsis of Pursuit:
 While traveling south on State Route 17 in the Town of Binghamton in Division Vehicle 2C14, I observed a 1988 Chrysler K car bearing NY Reg
 CYA4586 traveling at a high rate of speed. I initiated my emergency lights and siren and the operator of the vehicle failed to comply. The pursuit
 lasted for approximately 2 miles and was terminated by the operator voluntarily stopping his vehicle. The operator was taken into custody without
 Incident.

Member Signature: Tpr. Christopher Williams	Date: 4/2/14	File 3 #: DNA	Case #: TBD
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Pursuit Supervisor Comments:
 Trooper Williams acted within State Police policy and procedure with regards to the pursuit.

Pursuit Supervisor Signature/ Rank/ Shield:
 Sgt Michael O'Keefe #1759

Date:
 4/3/14

Zone Commander/ Second Line Supervisor Comments:
 I concur with the finding of Sgt O'Keefe.

Signature/ Rank/ Shield:
 Lt. John Frink #514

Date:
 4/4/14

NYSP Level 1 Investigation Form						Page	1	Of	3	
						Date	3/19/14			
Type of Complaint										
<input checked="" type="checkbox"/> Personnel Complaint		<input type="checkbox"/> Incident Review		<input type="checkbox"/> Injury to Person		<input type="checkbox"/>	Prior to custody			
						<input type="checkbox"/>	Being taken into Custody			
						<input type="checkbox"/>	While in Custody			
Member Receiving Initial Complaint				PC# (If applicable) 20140125		RMS # TBD				
Name		Rank	Employee ID #		Troop	TZS	Shield			
Nathan Hale		Sgt	121590094		C	211	1759			
Reported By: <input type="checkbox"/> Letter <input checked="" type="checkbox"/> In Person <input type="checkbox"/> Telephone <input type="checkbox"/> Email <input type="checkbox"/> Fax <input type="checkbox"/> Survey				Date Occurred		Time Occurred		Date Reported		Time Reported
				3/18/14		10:00 PM		3/19/14		3:00 PM
AMS Sent? (If Yes, must be attached as enclosure.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> DNA				County		C/T/V (Literal)		CTV Code		
				Broome		Maine		C0459		
Member Involved # 1										
Name		Rank	DOE	Employee ID #		Troop	TZS	Shield	Race	
Nathan Drake		Trooper	10/1/95	100195008		C	C211	2312	W	
Member Involved # 2										
Name		Rank	DOE	Employee ID #		Troop	TZS	Shield	Race	
Complainant Information										
			If Member Complete the fields below:							
Name		DOB	Rank	DOE	Employee ID #		Troop	TZS	Shield	Race
Woodrow Nelson		7/23/71								
Address			City			State	Zip	Phone		
1995 New Town Road			Binghamton			NY	13905	607-473-5489		
Injured Person										
Name		DOB	Race	Sex	Nature of Injury:					
How Injury was sustained:										
Hospital/EMS										
Name of Hospital or EMS:				Treated by:						
If Prisoner – Arrested for:				Treatment refusal witnessed by:						
Witness(es)										
Name #1		DOB								
Carlo DeBlasio		5/9/68								
Address			City			State	Zip	Phone		
859 Allen Street			Buffalo			NY	12588	607-548-9696		
Name #2		DOB								
Tiffany Morrison		12/16/70								
Address			City			State	Zip	Phone		
178 Spruce Street			Buffalo			NY	12588	718-965-1599		
Loss/Damage to Division Property										
Property Description:								Property Value:		
								\$		

NYSP Level 1 Investigation Form		Page	2	Of	3
		Date	3/19/14		
Synopsis	PC# (If applicable)	20140125			
<p>On March 19, 2014, Mr. Woodrow Nelson contacted Sgt. Nathan Drake at SP Binghamton and stated that on March 18, 2014, Trooper Nathan Drake was rude and unprofessional during a traffic stop where he received a UTT for speeding and was arrested for Unlawful Possession of Marijuana. Specifically, Mr. Nelson claimed Tpr. Drake called him a "stoner". Tpr. Drake denies the allegation and stated that Mr. Nelson was very upset and argumentative about receiving a UTT and being arrested. There were 3 other persons present at the stop and Trooper Drake's patrol car was not equipped with a video camera.</p> <p>After subsequent investigation, it was determined that Trooper Drake did not call Mr. Nelson a stoner. The complaint is UNFOUNDED. No further action required.</p>					

NYSP Level 1 Investigation Form				Page	3	Of	3
				Date	3/19/14		
# of Enclosures 2		(explain "No" in synopsis)		PC# (if applicable)		20140125	
Memo(s) of: Trooper Nathan Drake				<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> DNA			
Statement(s) of: Woodrow Nelson				<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> DNA			
AMS #	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> DNA		Other Terminal Message	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> DNA			
Genl. 89 – Use of Chemical Spray Report	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> DNA		Genl. 91 – Vehicle Pursuit Form	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> DNA			
Audio Tape or CD -# Enclosed	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> DNA		Video Tape or CD -# Enclosed	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> DNA			
Enter Source	Transcript enclosed <input type="checkbox"/>		Enter Source				
Genl. 34 – Photo Record	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> DNA		MV104 Police Accident Report	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> DNA			
Genl. 81 – Medical Records Release for:				<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> DNA			
Other:		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> DNA		Other:		<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> DNA	
Report of							
Name		Rank		Div ID#		TZS	
Nathan Hale		SGT		121590094		C211	
Reviewed by							
Name		Rank		Div ID#		TZS	
John Frink		LT		121088005		C211	
1st Endorsement							
To: Troop Commander			Date				
From:	Philo Farnsworth		3/25/14				
_____				<input checked="" type="checkbox"/> I concur with the findings of the Investigation.			
(Signature)							
2nd Endorsement							
To: Division Headquarters			Date				
From:	Julius Hibbert		4/1/14				
_____				<input checked="" type="checkbox"/> I concur with the findings of the Investigation.			
(Signature)							
If Personnel Complaint complete the following sections.							
The Complaint is:							
Unfounded <input checked="" type="checkbox"/>		Unsubstantiated <input type="checkbox"/>			Closed by Investigation <input type="checkbox"/>		
Founded <input type="checkbox"/>		Date					
Rule 3 Waiver Form attached <input type="checkbox"/>							
The following disposition was imposed under Rule 3							
No Action Warranted <input type="checkbox"/>		Letter of Censure <input type="checkbox"/>			Intra-Troop Transfer <input type="checkbox"/>		
Probation <input type="checkbox"/> # of days <input type="checkbox"/>		Loss of Annual Leave days <input type="checkbox"/> # of days <input type="checkbox"/>					
Copy of memorandum of disposition to Member attached <input type="checkbox"/>							